ORIGINAL PAPERS

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Orbital Opening Shape and Its Alphanumerical Classification

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A - research concept and design; B - collection and/or assembly of data; C - data analysis and interpretation;

D – writing the article; E – critical revision of the article; F – final approval of article

Abstract

Background. Orbit is the one of the most complicated areas of the facial part of cranium. The anthropological analysis of the orbits comprises basic measurement characterizing their shape: width and height. Classic anthropometric methods used to measure the skull variability are burdened with mistakes resulting from construction of measuring devices as well as from researcher's experience.

Objectives. The purpose of our research was to introduce a metric classification of the orbital opening.

Material and Methods. The study was carried out on 184 skulls. In our study we suggest introducing a classification of the orbital opening shape by calculating a functional for the 15 categories of the orbit shape. Shape categories have been arranged following the increasing value of the functionals. Each shape category of the orbital opening, according to the Piasecki's descriptive classification, was assigned a letter from the alphabet.

Results. We have observed a greater number of symmetrical skulls in the female group (29.11%) than in the male (23.81%). In both groups the symmetry type AA was the most frequent, it corresponds to the value of functional comprised in the interval from 0 to 1,30. According to the Piasecki's descriptive classification it was the oval elongated type.

Conclusions. Our alphanumerical classification based on the value of functional and on the orbit outline assigned to the value is an objective and useful method of the orbital opening shape analysis (Adv Clin Exp Med 2015, 24, 6, 943–950).

Key words: classification, orbit, skull.

Orbit is the main structure of the upper craniofacial massif (UMC). It is one of the most complicated areas of the facial part of the cranium. It is an anatomical structure located in the upper frontal part of the face. It takes the shape of an irregular tetrahedronal pyramid or a cone. The bases of both orbits are directed to the front, slightly laterally. The long axis of both orbits converges to the back and over *sella turcica* [1, 2].

Classic anthropometric methods used to measure the skull variability are burdened with mistakes resulting from the construction of measuring devices as well as from researcher's experience.

In order to make all calculations more objective, computer systems that measure digital pictures were used. A digital analysis of the UMC pictures, combining different techniques of computer graphics, was approved as a more precise and reliable measuring method. Hence, the decision to elaborate an application based on modern methods (raster and vector graphics) that could ensure precision and repeatability of UMC measurements, putting special emphasis on qualitative and quantitative parameters.

The purpose of our research was to introduce a metric classification of the orbital opening.

Material and Methods

The study was carried out on 184 skulls in a good state of preservation from early medieval ages (10th and 13th century) found in Kije and Złota Pińczowska sites. The skulls were made available by the Warsaw University Archeology Institute. The above-mentioned archeological sites were thoroughly described in a monograph by M. Zoll-Adamikowa [8]. The analysis was conducted on the remaining parts of the *maturus* and *adultus* cranium conserved as a skull without mandible (*calvarium*). The age of the skulls was dated on the basis of dentition and suture calcification and it ranged from 20 to 55 years. The skulls were divided into gender groups: male skulls (105) and female skulls (79).

The anthropological analysis of the orbits comprises basic measurement characterizing their shape: width and height.

Orbit width is defined as the distance between mf and ek points [3–6]. It may also be measured from the point called *dakryon* (d) on the inner side to the ek point. The anthropometric point d is situated at the junction of the frontal bone, lacrimal bone and the frontal process of maxilla. If the point d is invisible because of total calcification of the sutures or because of its abnormal localisation, the orbit width is measured from the *lacrimale* (la) point. This point is situated at the crossing of posterior lacrimal crest with frontolacrimal suture [3].

Orbit height is the longest distance between the upper and the lower margin of the orbit. It is measured perpendicularly to the line that marks its width, at mid-width [2]. Orbit index is equal to the quotient of the orbit height and width; it is expressed in percentage (H/S \times 100). Its value informs about the orbit shape [2, 7].

Measuring Instruments

The examined crania were fixed into a craniostat. A craniostat (craniosteophor) enables a secure stabilization of the skull and its manipulation in space according to the defined position and surface measurements.

In our study the Mollison's craniostat was used in the author's modification [9]. Unlike a classic cranoistat, it is constructed on a basis for three stands that are fixed to it. In their upper part the stands are equipped with a screw-grip to hold the cranium. Two of the stands hold up the lateral part of cranium and one infraoccipital grip holds up the posterior part. The grips move horizontally at an unrestricted distance. In addition, in order to position the cranium on the Frankfurt line, the craniostat was equipped with an indicator moving in horizontal and vertical surface that enables pointing out the lower margin of the orbit (Fig. 1).

After fixing them on the Frankfurt line, the photograph of the crania were photographed frontally from the distance of 50 cm. Pictures of the crania were taken with a high resolution (2272×1704) digital camera Sony. Digital pictures of the orbits were analyzed using the author's own application Digital Image Cranio-Analyzer 2.0 (DICA 2.0), elaborated at the Silesian Medical University Anatomy Department, combining bit and raster simultaneous recording. To analyze the orbit pictures, the non-referring method was used.

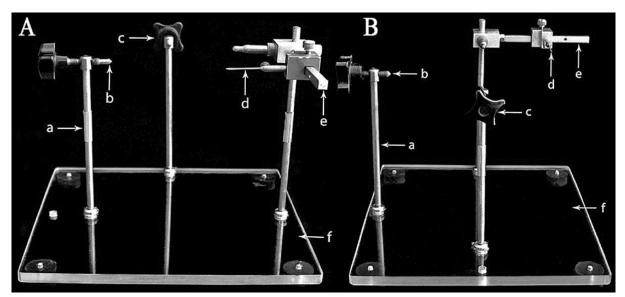


Fig. 1. Craniostat: A – frontal view; B – lateral view; a – vertical beam; b – handle for the skull; c – suboccipital handle; d – the lower edge of the orbit's indicator; e – horizontal beam; f – base

In our study we suggest introducing a classification of the orbital opening shape by calculating a functional for the 15 categories of the orbit shape; the calculation is based on Wierciński and Kapica classification that was modified and published by Piasecki [6, 10]. This scale defines the orbit shape variability. We distinguish the following forms: archimorphic-rectangular and trapezium-like (characteristic for white race), diagonal-rhombic (characteristic for yellow race) and neomorphic-elliptic and round (typical for black race).

Detailed Characteristics of the Orbital Opening Categories by Piasecki [10]

- 1. Rectangular: the opposite margins of the orbit are parallel to each other, the angles of the orbit are right, the opposite margins are of nearly equal length,
- 2. Rectangular oval: rectangular + all the margins are curved to the exterior, the angles more round,
- 3. Trapezium oval: trapezium-like + the margins more curved to the exterior, the angles even more round,
- 4. Trapezium with the lower margin oval: trapezium-like + the lower margin markedly curved, the lower angles round,
- 5. Trapezium-like: two margins are parallel external and internal, all the margins are of unequal length, the internal one is the shortest, the upper angles of the orbit are close to the right angle, the lower external angle is acute, the lower internal angle is obtuse,
- 6. Trapeizum elongated: trapezium-like + the lower external angle of the orbit is more acute and markedly elongated downwards,
- 7. Rhombus elongated: rhomboid + the lower external angle of the orbit acute and markedly elongated downwards,
- 8. Rhomboidal frontally rounded: rhomboid + the inner margin is markedly curved to the exterior,
- 9. Rhomboid: the orbit margins are parallel, of corresponding length, all the angles of the orbit are close to the right angle,
- 10. Rhomboid with the lower margin oval: rhomboid + the lower margin markedly curved, the lower angles more rounded,
- 11. Rhomboid oval: rhomboid + the margins are more curved to the exterior, the angles more rounded,
- 12. Elliptic-trapezoid: the form of a trapezium, the upper and lower margin elliptically rounded,
- 13. Oval elongated: the orbit markedly rounded, without marked angles, the internal part of the

orbit narrowed, the lower external margin extended downwards,

- 14. Oval rounded: the orbit markedly rounded, without marked angles, slightly elongated horizontally, equally wide on both sides,
- 15. Rounded: the orbit markedly rounded, without marked angles, its shape resembles a circle.

Shape categories have been arranged following the increasing value of the functionals. Each shape category of the orbital opening, according to the Piasecki's descriptive classification, was assigned a letter from the alphabet. That is how the alphanumerical classification based on the value of a functional was created (Table 1). The value for the functional putting a numeric value to each contour of orbit according:

$$F = \sum_{i=1}^{n} (r_i - r_{sr})^2$$

In order to analyze orbit symmetry and asymmetry, the values of functionals for the right and left orbit have been compared for each male and female skull. Based on the functional each orbit was assigned its proper letter from the alphabet according to the alphanumerical classification (Fig. 2).

Results

We have observed a greater number of symmetrical skulls in the female group (29.11%) than in the male one (23.81%). In both groups the symmetry type AA was the most frequent; it corresponds to the value of functional comprised in the interval from 0 to 1.30. According to the Piasecki's descriptive classification it was the oval elongated type (Table 2).

In the male group there were two dominant types of asymmetry: one corresponding to the FE (EF) configuration found in 6 skulls, the other one was NJ (JN) – found for the same amount of skulls. According to Piasecki's descriptive classification, the shape types of these skulls are the following: rhomboid rounded at bottom and oval rounded with rectangular oval and trapezoid rounded at bottom. In the female group the AF (4 skulls) asymmetry type prevailed which corresponds to the oval elongated and oval rounded types.

The next step was to compare the shape asymmetry according to the alphanumerical classification with the value of angles. The analysis was carried out in skulls with symmetrical orbits for the angles α and β . We have analyzed it in the following way: 11 skulls with AA type of symmetry, 15 skulls with FF, 2 skulls with OO, 1 with CC,

Table 1. Orbit classification

| Classification based on functional | Shape categories according to nominal classification | Functional | No. from descriptive classification |
|---------------------------------------|--|-----------------|-------------------------------------|
| A | oval elongated | F < 1.30 | 13 |
| В | rhomboid | 1.30 ≤ F < 1.44 | 9 |
| С | rhomboid rounded | 1.44 ≤ F < 1.52 | 11 |
| D | rounded | 1.52 ≤ F < 1.53 | 15 |
| Е | rhomboid rounded at bottom | 1.53 ≤ F < 1.58 | 10 |
| F | oval rounded | 1.58 ≤ F < 2.0 | 14 |
| G | elliptic-trapezoid | 2.23 ≤ F < 2.40 | 12 |
| Н | rhomboid frontally rounded | 2.40 ≤ F < 2.68 | 8 |
| I | rhomboid elongated | 2.68 ≤ F < 3.14 | 7 |
| J | rectangular oval | 3.14 ≤ F < 3.42 | 2 |
| K | trapezoid elongated | 3.42 ≤ F < 3.60 | 6 |
| L | trapezoid | 3.60 ≤ F < 3.68 | 5 |
| M | trapezoid rounded | 3.68 ≤ F < 3.70 | 3 |
| N | trapezoid rounded at bottom | 3.70 ≤ F < 5.20 | 4 |
| 0 | rectangular | F ≥ 5.20 | 1 |

Table 2. Types of symmetry in male and female skulls – alphanumerical classification; n – number of skulls, \mathcal{O} – male skull; \mathcal{Q} – female skull; LO – left orbit; RO – right orbit

| Symmetry type for LO and RO | n o | n Q |
|--------------------------------|-----|-----|
| AA | 7 | 11 |
| CC | _ | 1 |
| EE | 1 | _ |
| FF | 2 | 15 |
| GG | 2 | 1 |
| НН | 1 | 1 |
| II | 1 | _ |
| IJ | 4 | 1 |
| KK | _ | 1 |
| NN | 4 | _ |
| 00 | 3 | 2 |

1 with GG, 1 with HH, 1 with JJ, 1 with KK from all of the 79 female skulls. Inconsiderable differences between the α and β angles were stated. The most significant differences were noted for skulls with the OO-9° symmetry, which – in consistency with

the descriptive classification – is responsible for the rectangular shape of the orbital opening. The slightest differences were observed for the skulls with KK symmetry (less than 1°), which reflects trapezoid elongated shape of the orbital opening.

In male skulls the analysis was carried out on: 7 skulls with AA symmetry, 4 skulls with JJ and NN symmetry, 3 skulls with OO symmetry, 2 skulls with FF and GG symmetry and 1 skull for each of the following types: EE, HH and II. The comparison of angles α and β as well as of the orbit symmetry in male skulls did not demonstrate such regularity as in female skulls. The most significant differences were observed for the skulls with the type OO of symmetry and for the type EE (in the descriptive shape classification – rhomboid rounded at bottom). This difference equals 10°. More important differences between the α and β angles were stated in male group (maximal difference equaled 12°). Some exemplary asymmetrical skulls were also examined, with special focus on the skulls presenting the most significant differences between the left and right orbit, on the basis of the value of the functional. In male skulls the differences were more visible (maximal value 14°). In female skulls maximal value was 12°.

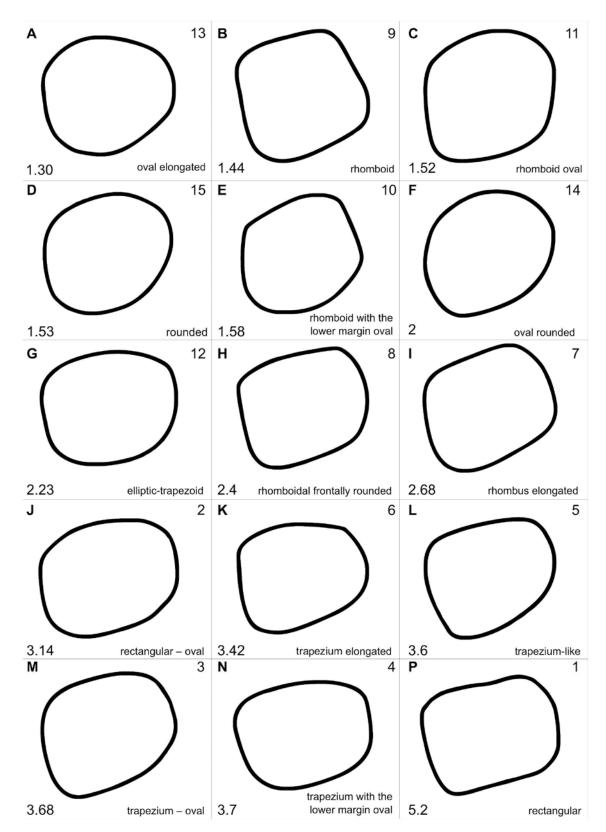


Fig. 2. Arrangement of the orbit inlet classifications: nominal and after the functional Left top corner – alphanumeric classification; left bottom corner – value of functional; right top corner – nominal classification number; right bottom corner – name in nominal classification

Discussion

The orbit is one of the most complicated structures of the UMC. It is formed by several bones

and its constitution is three dimensional. A thorough knowledge of craniometrical parameters describing height (n-pr), width (zma-zma) of the skull; height and width of the orbit, emphasizing

the gender differentiating parameters, allows for a precise reconstruction of bone structures. In our work the parameters describing height of facial cranium such as: n-pr and n-ss were of higher value for male skulls. After normalization through the arithmetical and geometrical modulus, higher values in male skulls were observed for the parameter n-ss and in female skulls – for the variable n-pr. The value of the parameter describing orbit width zma-zma and the variables describing orbit width, orbit height, mean and median radius, surface area and orbit circumference were of higher value in male skulls before normalization of the results. After normalisation through the arithmetic module, as well as through the geometric module, the values of the above mentioned parameters were higher in female skulls. Female skulls are relatively bigger in comparison to male skulls, which is confirmed in literature [11]. Nagle and al. [12] observed higher values for the parameters describing width and height of the face in male skulls, however their measurements were carried out on living people, not on skulls and the results have not been normalized. Radović and al. [13] examined the variability of facial part of cranium in 46 men and 53 women from Croatian population. The craniometric parameters were measured using standard anthropometric devices. In male group higher values for the parameters describing height and width of the face n-pr were stated. The correlation between parameters describing height of the face and width of the facial part of cranium has been demonstrated (r = 0.40). Similar values of the correlation coefficient were obtained in our study. Hee-Kyung Park and al. [14] examined craniometric points on 30 human skulls of an unknown gender. The distance separating the points from the lambda point was measured with the use of a laser. The laser measurement was compared to the classic one. The width of the right and left orbit has been analyzed. The width of the right orbit (40.65 mm) was greater than that of the left (40.55 mm). In our study a similar tendency in the orbit size has been observed. The right orbit width was 39.11 mm and the left orbit width was 37.84 mm. The differences in the orbit width result from, among others, the fact that the examined skulls were of different population origin. In our work broader orbits were observed on the right side.

Orbit index along with the skull height index plays an important role in defining the anthropological type. Gładkowska-Rzeczycka [15] tried to reconstruct the orbital opening making plaster casts of bones found in the crematory graves. The author observed that her method makes it possible to define the somatic type of a person, but it is not useful in determining the anthropological type.

There are several orbital opening shape classifications. One of them is descriptive (arbitrary) and based on measuring height of the orbit using a scheme: high orbit, medium-height orbit, quite high and short. These categories are helpful in orbit characterization, but they do not let to compare results from different researchers.

Martin's classification distinguishes 3 groups - according to the orbit index: with the orbit index value above 85 - hypsikonch, with the index value ranging between 76 and 84.9 - mesokonch and with the index value equal or inferior to 75.9 - chamaekonch [16]. Kadanov and al. [17] carried out research on 412 male skulls from Bulgarian population. 15 parameters of the orbital opening were studied. The research revealed that in most skulls (63%) the orbit width was greater on the right side, whereas the orbit height was greater on the left side. The orbit index was also observed, which led to the conclusion that: chamaekonch type appeared more often on the right side and hypsikonch on the left side. In our study the type hypsikonch was observed on both sides in male skulls (mean value for the orbit index: right orbit - 85.93, left orbit - 87.97). In female group the results were comparable (the value of the orbit index: right orbit - 88.52, left orbit - 92.13). Another classification of the orbital opening shape based on orbit index was presented by Patnaik [7]. The orbits were divided into 3 groups: megaseme (big) - orbit index superior to 89, among yellow race mainly, mesoseme (medium) - orbit index between 89 and 83, typical for white race (Europeans - 87), microseme (small) - orbit index below 83.

In our study orbit index was higher in female (91.77) than in male (87.82) skulls. Taking the above classification as a model, female orbits were included in megaseme group, whereas male orbits were included into mesoseme group. The values of the orbit index in male group corresponded with a typical white European group (with the orbit index 87), whereas the orbits in female skulls represented the Mongolian race type. Catalina-Herrera [17] studied height and width of the orbital opening in 70 male and 30 female skulls. The results were presented using the orbit index, which is superior in female group than in male group. For the purpose of typology of craniological material, Michalski evaluated a scale of cranioscopic features. It was meant to be the basis for a morphological description of skulls for the use of anthropologists and forensic medicine, yet this original approach has not been published. The author divided the orbits into: very small, small, quite small, medium, big and very big. Considering shapes, he distinguished 20 categories. The modifications of cranioscopic scales formulated by Wierciński and Kapica were then published

by Piasecki [14]. This time there were 15 categories. In the above-enumerated works the orbital opening shape is compared to a basic geometrical figure. The methodology of the measurement consists of comparing the given feature with a sketch that represents this particular feature in the table of sketches. On this basis, the feature is assigned a category number corresponding with the degree the examined feature is developed. This method is burdened with mistakes resulting from a subjective evaluation. Piasecki's nominal orbit classification is more objective, which offers a possibility of comparing results obtained by different researchers.

In our work a new alphanumerical orbital opening classification is presented. The value of functional is the criterion of our classification. It is based on Piasecki's work [9] and consists in calculating the functional for each of the 15 categories of the orbital opening shape, and next, in arranging results according to their value. The values of functionals for each geometrical figure are constant, that is why it is possible to assign only one number to each geometrical figure and thus, determine the orbital opening shape (round, oval or square). The smaller the value of the functional, the rounder gets the shape. This classification gives an important advantage – repeatability.

Our research demonstrated lower values of the functional for both orbits in female skulls and higher in male skulls. It allows us to classify female orbit as rounder and male orbit as more rectangular. The round orbit shape in female skulls is confirmed

by Patnaik and al. [7] and Wierciński [19]. According to Wierciński, the main reason for morphological differences between male and female skulls is the earlier and more accentuated ontgenetic retardation. In consequence, female skulls morphologically resemble skulls of children that are characterised by a rounder orbital opening shape. It is important to emphasize that the value of the functional does not depend on the orbit rotation, but on the orbit shape. In the examined female population (29.11%) there were more symmetrical skulls, most frequently of AA configuration (according to the alphanumerical classification based on the value of functional). De Paiva and al. [20] confirm this statement; in their research female skulls were less asymmetrical. The most frequent symmetry type in male skulls (23.18%) was the AA configuration as well.

Introduction of a new orbital opening shape classification based on the functional value may become useful for orbit qualitative parameters analysis. Thanks to the combination of the functional value with the descriptive classification that we present as our alphanumerical orbit shape classification, it is finally possible to analyze results of different authors, including those who have not used numerical methods in their research. Our alphanumerical classification based on the value of functional and on the orbit outline assigned to the value is an objective and useful method of the orbital opening shape analysis. It combines all the advantages that the numerical, descriptive and nominal classifications offer together.

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